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JC836 U.S. PTO
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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 2369-12202
First Named Inventor or Application Identifier
TOSHIYUKI SUDO, ET AL.
Express Mail Label No.

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)

7. ☐ Microfiche Computer Program (Appendix)

2. ☒ Specification Total Pages **91**

8. ☐ Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)

3. ☒ Drawing(s) (35 USC 113) Total Sheets **26**

a. ☐ Computer Readable Copy

☐ Patent Application Bibliographic
Data Sheet Total Sheets

b. ☐ Paper Copy (identical to computer copy)

c. ☐ Statement verifying identity of above copies

☒ Oath or Declaration Total Pages **2**

a. ☒ Newly executed (original or copy)

b. ☐ Unexecuted for information purposes

c. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
[Note Box 6 below]

i. ☐ **DELETION OF INVENTOR(S)**
Signed Statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).

☐ Incorporation By Reference (useable if Box 5c is checked)
The entire disclosure of the prior application, from which a copy of the
oath or declaration is supplied under Box 5c, is considered as being
part of the disclosure of the accompanying application and is hereby
incorporated by reference therein. The incorporation can only be
relied upon when a portion has been inadvertently omitted from the
submitted application parts.

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))

10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)

11. ☐ English Translation Document (if applicable)

12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS
Citations

13. ☒ Preliminary Amendment

14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)

15. ☐ Small Entity Statement(s) ☐ Statement filed in prior application
Status still proper and desired

16. ☒ Certified Copy of Priority Document(s)
(if foreign priority is claimed)

17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. ____/
Prior application information: Examiner _____ Group/Art Unit: _____

19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label **05514** or ☐ Correspondence address below
(Insert Customer No. or Attach bar code label here)

| | | | | | |
|---------|-----------|----------|--|--|--|
| NAME | | | | | |
| Address | | | | | |
| City | State | Zip Code | | | |
| Country | Telephone | Fax | | | |



| CLAIMS | (1) FOR | (2) NUMBER FILED | (3) NUMBER EXTRA | (4) RATE | (5) CALCULATIONS |
|--------|--|------------------|-------------------------------|-------------------------------|------------------|
| | TOTAL CLAIMS (37 CFR 1.16(c)) | 87-20 = | 67 | X \$ 18.00 = | \$1,206.00 |
| | INDEPENDENT CLAIMS (37 CFR 1.16(b)) | 9-3 = | 6 | X \$ 78.00 = | \$ 468.00 |
| | MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d)) | | | \$260.00 = | \$ 260.00 |
| | | | | BASIC FEE (37 CFR 1.16(a)) | \$690.00 |
| | | | Total of above Calculations = | | \$2,624.00 |
| | Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28). | | | | |
| | TOTAL = | | | | \$2,624.00 |

20. Small entity status

- a. ☐ A small entity statement is enclosed
- b. ☐ A small entity statement was filed in the prior nonprovisional application and such status is still proper and desired.
- c. ☐ Is no longer claimed.

21. ☒ A check in the amount of \$ 2,624.00 to cover the filing fee is enclosed.

22. ☒ A check in the amount of \$ 40.00 to cover the recordal fee is enclosed.

23. The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 06-1205:

- a. ☒ Fees required under 37 CFR 1.16.
- b. ☒ Fees required under 37 CFR 1.17.
- c. ☐ Fees required under 37 CFR 1.18.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

NAME

Brian L. Klock, Registration No. 36,570

SIGNATURE

DATE

August 28, 2000

BLK/fdb